



Agency Performance Report

Prepared by State Historic Preservation Office (SHPO): 2008-2009 Fiscal Year

2009 REPORT ON AGENCY PERFORMANCE

INTRODUCTION

Arizona State Statutes §41-861 through §41-864 direct state agencies to: preserve historic properties under their ownership or control; consider the use of historic properties for agency responsibilities; establish a program to locate, inventory, and nominate properties to the Arizona Register of Historic Places; insure that properties are not destroyed or substantially altered by state action or assistance; make appropriate documentation in accordance with State Historic Preservation Office (SHPO) standards if a property is destroyed or altered; and seek review and comment from the SHPO on agency plans. This report provides a summary of the performance of state agencies in compliance with these state statutes. The information provided was compiled from SHPO records and an agency self-evaluation questionnaire.

Table 1. Agencies responding to the questionnaire

Arizona Corporation Commission (ACC)
Arizona Department of Administration (ADOA)
Arizona Department of Agriculture (ADA)
Arizona Department of Commerce (ADOC)
Arizona Department of Corrections (ADC)
Arizona Department of Housing (ADH)
Arizona Department of Water Resources (ADWR)
Arizona Division of Emergency & Military Affairs (DEMA)
Arizona Exposition and State Fair (AESF)
Arizona Game and Fish Department (AGFD)
Arizona Geological Survey (AGS)
Arizona Historical Society (AHS)
Arizona Pioneers' Home
Arizona State Library Archives & Public Records (ASLAPR)
Arizona State Parks (ASP)
Arizona State Park/State Historic Preservation Office (SHPO)
Arizona State School for the Deaf & Blind (ASDB)
Arizona State University (ASU)
Northern Arizona University (NAU)
Power Authority
School Facilities Board (SFB)
University of Arizona (U of A)
Water Infrastructure Finance Authority (WIFA)

A.R.S. 41-861 AGENCY RESPONSIBILITIES

The chief Administrator of each state Agency is responsible for the preservation of Historic Properties, which are owned or controlled by the agency. Prior to acquiring, constructing or leasing buildings for purposes of carrying out agency responsibilities, each agency shall consider the use of historic buildings. Each agency shall undertake any preservation necessary to carry out this article in a manner consistent with the preservation of historic properties, the duties of the agency and professional standards, which the state historic preservation officer recommends. The chief administrator of a state agency may designate a full-time employee to coordinate the agency's activities under this article.

Table 2. Agency employees designated to coordinated historic preservation activities.

Agency	Designated Cultural Resource Staff	Time	Other staff	Staff meeting Historic Preservation Professional Standards	Approx. Number of Projects/ Activities in '09
Arizona Corporation Commission (ACC)	0				0
Arizona Department of Administration	1	5 hrs/wk	3	No	1 to 50
Arizona Department of Agriculture (ADA)	0				
Arizona Department of Commerce (ADOC)	0				1 to 50
Arizona Department of Corrections (ADC)	0	As needed		No	0
Arizona Department of Housing (ADH)	1	As needed		No	1 to 50
Arizona Department of Water Resources (ADWR)	0				0
Arizona Division of Emergency & Military Affairs (DEMA)	1				1 to 50
Arizona Exposition and State Fair (AESF)	1	5-10 hrs/wk		No	1 to 50
Arizona Game and Fish Department (AGFD)*	1	Part-time	3	No	1 to 50
Arizona Geological Survey (AGS)	1	As needed		No	
Arizona Historical Society (AHS)	1	2 hrs/wk	2	No	1 to 50
Arizona Pioneers' Home	1	1-2 hrs/wk		No	1 to 50
Arizona State Library Archives & Public Records (ASLAPR)	1	Part-time		Yes	1 to 50
Arizona State Parks (ASP)	1	Full-time		Yes	1 to 50
Arizona State Park/State Historic Preservation Office (SHPO)	7	Full-time		Yes	1500 +
Arizona State School for the Deaf & Blind (ASDB)	1	1-2 hrs/wk		No	1 to 50
Arizona State University (ASU)	1	28 hrs/wk		Yes	1 to 50
Northern Arizona University (NAU)	1	As needed		No	1 to 50
Power Authority	1	As needed		No	1 to 50
School Facilities Board	1	1 hr/wk		Yes	1 to 50
University of Arizona (U of A)	1	10 hrs/wk	2	Yes	1 to 50
Water Infrastructure Finance Authority (WIFA)	0				0

Table 3. Summary of agency's use of historic buildings in FY 2009

Agency	Agency Considers the Use of Historic Buildings	Agency Currently Owns Leases Uses Historic Buildings	Acquired, Leased or Constructed Buildings for Agency Use in '09	Historic Property Acquired or Leased in '09
Arizona Corporation Commission (ACC)	Yes		No	
Arizona Department of Administration	Yes	Yes	No	
Arizona Department of Agriculture (ADA)	No		No	
Arizona Department of Commerce (ADOC)	No		No	
Arizona Department of Corrections (ADC)	No	Yes	Yes	None
Arizona Department of Housing (ADH)	Yes		No	
Arizona Department of Water Resources (ADWR)	No		No	
Arizona Division of Emergency & Military Affairs (DEMA)	NR		NR	
Arizona Exposition and State Fair (AESF)	Yes	Yes	No	
Arizona Game and Fish Department (AGFD)	Yes		Yes	None
Arizona Geological Survey (AGS)	No		No	
Arizona Historical Society (AHS)	No	Yes	No	
Arizona Pioneers' Home	No	Yes	No	
Arizona State Library Archives & Public Records (ASLAPR)	No	Yes	No	
Arizona State Parks (ASP)	Yes	Yes	Yes	Picket Post House Pickin'R Ranch
Arizona State School for the Deaf & Blind (ASDB)	Yes	Yes	No	
Arizona State University (ASU)	Yes	Yes	No	
Northern Arizona University (NAU)	Yes	Yes	Yes	None
Power Authority	No		No	
School Facilities Board	No		No	
University of Arizona (U of A)	Yes	Yes	No	
Water Infrastructure Finance Authority (WIFA)	NR		NR	

The following agencies participated in the Arizona Site Steward Program to assist in fulfilling their responsibilities to protect historic properties. The Arizona Site Steward Program, which is administered by Arizona State Parks, provides trained volunteers to assist federal and state land managers in protecting resources through regular monitoring of threatened properties.

Arizona State Parks
Arizona Game and Fish Department
Arizona State University
Arizona State Land Department
University of Arizona

Agencies provided the following comments on other proactive programs/activities to protect and preserve and enhance Arizona Register listed or eligible properties.

Arizona Department of Administration (ADOA)

ADOA has included historic properties in the annual budget renewal list for FY2010 and FY2011. It is ADOA practice to utilize state-owned spaces whenever possible. BPS performs space planning for the ADOA Building system and enforces this practice. Currently, all of the historic properties under ADOA management are fully occupied.

Arizona Department of Corrections (ADC)

ADC has identified buildings at the Fort Grant unit of the Arizona State Prison Complex Safford, as well as the Arizona State Prison Complex Florence as historic buildings, which require us to consider any changes that might affect these structures, as well as to work with appropriate agencies, such as the SHPO, prior to conducting such work activities. Additionally, at least one prison unit site (East unit at Florence) is located on an identified Native American artifact site. ADC has had staff from Florence, Ft. Grant and our central office facilities bureau attend a seminar and receive training from the SHPO on preserving historic buildings. ADC has had at least 2 institutions engage in cooperative agreements to provide inmate labor that has been utilized on historic building projects. Our contract partners are the ones involved in the approvals for the work. In the past year, the State prison at Douglas has engaged in the following projects; renovation of the Grand Theater, Douglas; maintenance of the Douglas Police Department building (formally the Union Pacific Railway Depot); maintenance and historic item display inventory cataloguing at the Tombstone courthouse; maintenance and renovation in the town of Tombstone and restoration and cleanup of the Gleeson cemetery.

Arizona Pioneers' Home (APH)

Initiating energy savings performance contracting for the historic Pioneer's Home.

Arizona State University (ASU)

Structural Analysis of the Social Science Building (1959) to determine the feasibility of reoccupation of the fourth floor and potential/requirements for stabilization and continued use. Updating of the 2003 Historic Resources Management Policies & Procedures Recommendations; Ongoing development of a National Register of Historic Places Multiple Property Documentation Form (MPDF) for the Tempe campus

and an amendment to the Williams Air Force Base Historic Resources MPDF for the Polytechnic Campus. The ASU Historic Preservation Coordinator made presentations to the ASU Chief Financial Officer, Facilities Management Supervisors, and Capital Projects Management Group on ASU Historic preservation requirements.

Northern Arizona University (NAU)

NAU had planned to attend training and then apply for a grant (AZ Heritage Fund) to repair Old Main, but those activities were suspended and/or cancelled. The website still lists those activities as suspended/cancelled.

A.R.S 41-862 PROGRAM

In cooperation with the state historic preservation officer, each state agency shall establish a program to locate, inventory and nominate to the Arizona Register of Historic Places all properties under the agencies ownership or control that appear to meet the criteria for inclusion on the register. Each state agency shall exercise caution to insure that the property is not inadvertently transferred, sold, demolished, substantially altered or allowed to deteriorate significantly.

Pursuant to A.R.S 41-862, agencies were asked to provide information on their program to locate, inventory, and nominate to the Arizona Register of Historic Places all properties under the agency's ownership or control that appear to meet the criteria for inclusion on the register.

Table 4. Agency activities to locate, evaluate and nominate properties to the Arizona Register of Historic Places

Agency	Agency Program to Locate and Evaluate Properties	Conducted Surveys in 2009	Consulted with SHPO on Eligibility of Properties	Properties Nominated to the Arizona Register in 2009
Arizona Corporation Commission (ACC)	No	No	No	None
Arizona Department of Administration	Yes	Yes	No	None
Arizona Department of Agriculture (ADA)	No	No	No	None
Arizona Department of Commerce (ADOC)	No	No	Yes	None
Arizona Department of Corrections (ADC)	No	No	No	None
Arizona Department of Housing (ADH)	No	No	No	None
Arizona Department of Water Resources (ADWR)	No	No	No	None
Arizona Division of Emergency & Military Affairs (DEMA)	NR	NR	NR	NR
Arizona Exposition and State Fair (AESF)	No	No	No	None
Arizona Game and Fish Department (AGFD)	No	No	Yes	None
Arizona Geological Survey (AGS)	No	No	No	None
Arizona Historical Society (AHS)	Yes	Yes	No	None
Arizona Pioneers' Home	No	No	No	None

Arizona State Library Archives & Public Records (ASLAPR)	No	No	No	None
Arizona State Parks (ASP)	Yes	No	No	None
Arizona State School for the Deaf & Blind (ASDB)	Yes	Yes	No	None
Arizona State University (ASU)	Yes	Yes	Yes	None
Northern Arizona University (NAU)	Yes	Yes	No	None
Power Authority	No	No	No	None
School Facilities Board	Yes	No	No	None
University of Arizona (U of A)	Yes	Yes	Yes	Tumamoc Hill
Water Infrastructure Finance Authority (WIFA)	NR	NR	NR	None

Cooperative Agency Efforts on Statewide Electronic Property Inventory

The Arizona State Museum at the U of A, the School of Human Evolution and Social Change at ASU, the State Historic Preservation Office, a division of Arizona State Parks, and the Museum of Northern Arizona have continued to work cooperatively to manage AZSITE, the statewide electronic inventory of archaeological and historical properties. This database and geographic information system is used by four state agencies and the three state universities to assist in performing their mandated cultural resources responsibilities. These state agencies and universities also contribute data to the system. The four managing agencies and users of the system provide funding for the system. Users of the system include state and federal agencies, Arizona tribes, counties, cities, cultural resource consulting firms, research and higher education institutions, conservation organizations, museums, and publicly regulated utility companies. In FY 2005-06, a Governor’s Executive Order established AZSITE as Arizona’s Electronic Inventory of Historical and Archaeological Properties, established the AZSITE Consortium as the management body, and created an Advisory Committee. In 2009, AZSITE implemented the AZSITE public website with sensitivity maps to assist local communities with planning.

A.R.S. 41-863 RECORDS

Each state agency shall initiate measures, in consultation with the state historic preservation officer, to assure that if, as a result of state action or assistance given by the agency, historic property is to be substantially altered or demolished, timely steps are taken to make appropriate documentary recordation with standards which the state historic preservation officer establishes. The agency shall deposit the records with the department of library, archives and public records and with the state historic preservation office for future use and reference.

Agencies provided information on measures that they initiated, in consultation with the State Historic Preservation Officer, to make appropriate documentary recordation of any historic properties that were or will be demolished or substantially altered due to agency action and provided information on the submittal of these documents to the required archival repositories.

Table 5. Documentary recordation of properties altered or demolished

Agency	Documented Properties Destroyed or Altered	Type of Documentation	Property Listed on AZ or National Register of Historic Places	Name of Property	Documentation Submitted
Arizona Corporation Commission (ACC)	No	NA	NA		
Arizona Department of Administration	No	NA	NA		
Arizona Department of Agriculture (ADA)	NR				
Arizona Department of Commerce (ADOC)	No				
Arizona Department of Corrections (ADC)	No				
Arizona Department of Housing (ADH)	Yes	Historical and State-level Architectural	No		
Arizona Department of Water Resources (ADWR)	No				
Arizona Division of Emergency & Military Affairs (DEMA)	NR				
Arizona Exposition and State Fair (AESF)	No				
Arizona Game and Fish Department (AGFD)	Yes	Historical	No		
Arizona Geological Survey (AGS)	NR	NR	NR		
Arizona Historical Society (AHS)	Yes	Historical	No		
Arizona Pioneers' Home	No				
Arizona State Library Archives & Public Records (ASLAPR)	No				
Arizona State Parks (ASP)	Yes, Stabilization & Rehabilitation	State-level Architectural	Yes	McFarland Courthouse, Douglas Mansion & Tonto Lodge	SHPO
Arizona State School for the Deaf & Blind (ASDB)	No				
Arizona State University (ASU)	No				
Northern Arizona University (NAU)	Yes, Rehabilitation (roof)	Historical	Yes	Old Main	SHPO
Power Authority	No				
School Facilities Board	No				
University of Arizona (U of A)	Yes	Historical	No		SHPO & ASLAPR
Water Infrastructure Finance Authority (WIFA)	NR				

A.R.S. 41-864 REVIEW OF AGENCY PLANS

The state historic preservation officer has thirty working days in which to review and comment on any plans of a state agency, which involve property which is included on or may qualify for inclusion on the Arizona Register of Historic Places, including any construction projects, sale, lease or acquisition of historic properties, to insure that the prehistorical, historical, architectural or cultural significant values will be preserved or enhanced.

Agencies were asked to provide information on whether they solicited review and comment from the State Historic Preservation Officer on any agency plan, which involved properties that are included on or may qualify for inclusion on the Arizona Register of Historic Places, including any construction project, sale, lease or acquisition of a historic property. Agencies provided the following information on the results of project review including any eligible properties negatively impacted or enhanced by agency plans/activities.

Table 6. Results of consultation with SHPO & tribes on agency plans/projects

Agency	Consultation on Agency Plans	Negative Impacts on Historic Properties	Positive Impacts on Historic Properties	Consultation with Indian Tribes
Arizona Corporation Commission (ACC)	No	No	No	No
Arizona Department of Administration	Yes	No	No	No
Arizona Department of Agriculture (ADA)	No	No	No	No
Arizona Department of Commerce (ADOC)	No	No	Yes *	No
Arizona Department of Corrections (ADC)	No	No	No	No
Arizona Department of Housing (ADH)	Yes *	No	No	Yes
Arizona Department of Water Resources (ADWR)	Yes *	No	No	No
Arizona Division of Emergency & Military Affairs (DEMA)	Yes *	No	NR	Yes
Arizona Exposition and State Fair (AESF)	No	No	No	No
Arizona Game and Fish Department (AGFD)	Yes *	No	No	Yes
Arizona Geological Survey (AGS)	No	No	No	No
Arizona Historical Society (AHS)	Yes *	No	No	No
Arizona Pioneers' Home	Yes *	No	Yes *	No
Arizona State Library Archives & Public Records (ASLAPR)	No	No	No	No
Arizona State Parks (ASP)	Yes	No	Yes *	Yes

Arizona State School for the Deaf & Blind (ASDB)	No	No	No	No
Arizona State University (ASU)	Yes *	No	Yes *	Yes
Northern Arizona University (NAU)	Yes *	No	Yes *	No
Power Authority	No	No	No	Yes
School Facilities Board	No	No	No	No
University of Arizona (U of A)	Yes	No	Yes *	No
Water Infrastructure Finance Authority (WIFA)	NR	NR	NR	NR

* See details below on agency comments regarding consultation with the SHPO and agency plans that have enhanced Historic Properties.

Agency Comments:

Arizona Department of Administration (ADOA)

Consulted with the SHPO to identify options available to repair, replace, or demolish the chimney cap on the 1688 W. Adams building due to structural materials failure.

Arizona Department of Commerce (ADOC)

The ADOC provides grants and other assistance to Main Street Programs throughout Arizona. Many of the Main Street Properties are either listed or eligible for the Arizona Register of Historic Places. This program not only assists with the preservation of historic building stock but also helps promote small business development.

Arizona Department of Housing (ADOH)

Applications for funding through ADOH are reviewed by the SHPO.

Arizona Department of Water Resources (ADWR)

Grant applications/awards or any other proposals that are submitted to the ADWR for review and/or approval by ADWR are also forwarded to the SHPO for review for impact to cultural resources prior to expenditure of public funds or the implementation of those proposed activities. ADWR does not own any real property, historical, or archaeological properties and does not provide staff to facilitate or implement capital improvements or ground disturbing activities. Any grant award projects or design plans that are reviewed and/or approved by ADWR are solely carried out by entities outside of the agency and it is the responsibility of that entity to obtain SHPO approval prior to any ground disturbing activities. ADWR provides an avenue to route information between the outside entity and SHPO for cultural, historical, or archaeological reviews related to ADWR.

Arizona Division of Emergency Management and Military Affairs (DEMA)

In coordination with FEMA, the Town of Snowflake has applied for a mitigation grant that will involve work on a site that has been identified as possibly eligible for inclusion on the National Register. Environmental review for the project site is in progress.

Arizona Game and Fish Department (AG&F)

AG&F coordinates all agency projects with SHPO when the projects involve ground disturbing activities or renovations of historic properties.

Arizona Historical Society (AHS)

AHS consulted with the SHPO on the Livermore Barn.

Arizona Pioneers' Home (APH)

APH staff consulted with the SHPO on energy saving performance contracting. The Arizona Pioneers' Home is listed in the Arizona Register of Historic Places. Front third floor balconies were repaired/restored, and fitted with additional drainage to prevent future deterioration. A local preservation architect was involved with ADOA General Services in this building renewal funded project.

Arizona State Parks (ASP)

ASP consulted with the SHPO prior to building restoration and stabilization activities at Tonto Lodge (Payson), McFarland Courthouse (Florence), and Douglas Mansion (Jerome).

Arizona State University (ASU)

ASU consulted with the SHPO on the following projects:

- Polytechnic Campus - Archaeological survey and testing for pipeline removal project; Ongoing consultation on use of the Polytechnic Campus/Midvale site area; Williams Air Force Base Flagpole base; & Agriculture building door hardware replacement.
- Tempe Campus - Irish Hall interior modifications; Manzanita Hall Rehabilitation Feasibility Study; University Dr. bus shelter replacement; Old Main carillon and conference room; University Club exterior maintenance; West Hall, Secret Garden lighting replacement; Matthews Hall roof replacement; Memorial Union second floor remodeling; new transformer locations; archaeological survey for Intercollegiate Athletic Association; ISTB IV archaeological survey/testing; campus elevator modifications
- Downtown Phoenix - Downtown Post Office
- Deer Valley Rock Art Center proposed expansion

Northern Arizona University (NAU)

NAU Capital Assets ensures buildings with historic designations are preserved and treated accordingly during university master planning efforts, construction, infrastructure improvements, and any other activities that physically impact buildings. NAU sought SHPO review on the Old Main Roof repair project including design specifications for roof and brick repair.

University of Arizona (U of A)

The U of A consulted with SHPO on the Old Main renovations, including the Old Main stairway, and Indian Ruins building rehabilitation.

Arizona Department of Transportation (ADOT)

The ADOT provides funding for a position at the SHPO to facilitate an expedited review of transportation projects.

Table 7. Number of projects submitted by agencies to SHPO for review and comment

Agency	State Agency Project	Project with other State or Federal Agency	Total
Arizona Corporation Commission	6	2	8
Arizona Department of Environmental Quality	22	5	27
Arizona Department of Housing	19	31	50
Arizona Department of Transportation	105	197	302
Arizona Department of Water Resources	12	5	17
Arizona Game and Fish Department	17	3	20
Arizona State Land Department	86	22	108
Arizona State Park	16	5	21
Arizona State University	2	4	6
Arizona Department of Emergency Management and Military Affairs	2	2	4
Arizona Department of Commerce		1	1
Northern Arizona University	1		1
University of Arizona	10	1	11
Water Infrastructure Authority	1	1	2
Total	299	279	578

Agencies were asked if they use the State Historic Preservation Plan produced by the State Historic Preservation Office.

The following six agencies responded that they use the State Historic Preservation Plan:

- Arizona State University
- Arizona School for the Deaf and Blind
- Arizona State Parks
- Arizona State Library, Archives, and Public Records
- Arizona Historical Society
- Arizona Department of Administration

Agency Training

The following training opportunities were offered in FY 2009
SHPO

- State Historic Preservation Act Training

Training at the Historic Preservation Partnership Conference

- Undertaking Historical Research
- The basics of Historic-Period Artifact Identification
- How to prepare a National Register Nomination
- Working with Historic Building Materials
- Arguing for Architecture: Criterion "C"
- Planning a Public Preservation Project
- Infill as a Sustainability Strategy
- Cultural Resource Laws

- The Secretary of Interior's Standards for the Treatment of Historic Properties
- LEED Workshop
- Grant Writing Workshop
- Conservation for Rock Art and Outdoor Objects
- How Local Archaeology Ordinances Serve Communities
- Capturing the Cultural and Heritage Tourism Customer
- Persuading the Building Inspector
- Managing Vandalism of Archaeological Sites
- Preserving Industrial Heritage
- Broadband as Economic Development

National Preservation Institute and SHPO

- Green Building for Historic Buildings
- Historic Landscapes: Planning, Management, and Cultural Landscape Reports

Agency staff attended the following training offered by the SHPO and cooperating agencies in FY 2009.

- Green Strategies for Historic Buildings
- Historic Preservation Act Training
- National Historic Preservation Act, Introduction to Section 106
- National Environmental Policy Act Training by Federal Emergency Management Agency

State Agency Requests for Training in 2010:

- Changes in national legislation and policy
- Archaeological survey and testing requirements/process overview for laypeople (non-archaeologists) with responsibilities for managing the process for an agency.
- State Historic Preservation Legislation
- How to Nominate a Property to the National Register of Historic Places
- Tribal Issues and Consultation
- Secretary of Interior's Standards for Rehabilitation
- Identification and Evaluation of Properties
- How to incorporate a historic preservation component into our strategic plan and how to better utilize SHPO resources

*This document was compiled by Arizona State Parks, State Historic Preservation Office.
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